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COOL-00901

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application: Mark Munch et al.

Serial No.: 10/643,641

Filed: August 18, 2003

For: **REMEDIES TO PREVENT
CRACKING IN A LIQUID
SYSTEM**

**REQUEST FOR REFUND (IMPROPER
CHARGE OF DEPOSIT ACCOUNT)**

162 North Wolfe Road
Sunnyvale, CA 94086
(408) 530-9700

Attn: Refund Section, Accounting Division
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450.

Sir:

This is a request for a refund, with respect to the charge to Deposit Account 08-1275, shown on the attached statement dated August 25, 2005 for the above-identified patent application.

An Information Disclosure Statement was filed on August 4, 2005 (see copy of transmittal letter and stamped received postcard). Deposit Account 08-1275 was debited \$180.00 on August 25, 2005 under fee code 1806. However, a certification under 37 CFR § 1.97 (e) was included with the Information Disclosure Statement and was filed within the three month certification time period. Attached is a copy of the Information Disclosure Statement as filed August 4, 2005, including the certification. As the IDS was filed prior to August 23, 2005 no IDS filing fee was required.

Applicant respectfully requests a credit to Deposit Account 08-1275 in the amount of \$180.00. The Commissioner is hereby authorized to credit Deposit Account 08-1275. An originally executed duplicate of this Request is enclosed for this purpose.

Adjustment date: 11/09/2005 SDENR001
08/25/2005 HMASSEY 00000010 081275 10643641
01 FC 1806 180.00 CR

Respectfully submitted
HAVERSTOCK & OWENS LLP

Dated: 9-21-05

By: Thomas B. Haverstock

Thomas B. Haverstock
Registration No.: 32,571
Attorneys for Applicant(s)

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 9-21-05 By: John D. Rutter



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Patent and
Trademark Office**

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Deposit Account Statement

Requested Statement Month: August 2005
Deposit Account Number: 081275
Name: HAVERSTOCK & OWENS LLP
Attention: DANIELLE DALTON
Address: 162 NORTH WOLFE ROAD
City: SUNNYVALE
State: CA
Zip: 94086
Country: UNITED STATES OF AMERICA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
08/16	101	60241450	24717-701	8021	\$560.00	\$1,624.00
08/17	1	10882607	COOL-00505	1806	-\$180.00	\$1,444.00
08/17	5	PCT/US05/24641	RK-00201WO	1602	-\$700.00	\$2,144.00
08/18	6	10122244	1004-89	1811	\$100.00	\$2,044.00
08/23	1	10366122	COOL-00202	COOL-00202 1806	\$180.00	\$1,864.00
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08/23	3	10366122	COOL-00202	COOL-00202 1806	\$180.00	\$1,504.00
08/24	185	76434038		9204	-\$50.00	\$1,554.00
08/25	12	10643641	COOL-00901	1806	\$180.00	\$1,374.00
08/25	21	PCT/US02/09590	SLM-05800WO	1607	\$600.00	\$774.00
08/30	51	11174256	SSI-05700	1463	\$70.00	\$704.00
08/31	1	09971998	SONY-15200	1806	\$180.00	\$524.00
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08/31	55	SERVICE CHARGE		9202	\$25.00	\$599.00
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		\$2,184.00	\$2,435.00	\$850.00	\$599.00	

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Serial No.: 10/643,641 H&O File No.: COOL-00901 By: TSH/PR
In the Matter of The Application of: Mark Munch et al.
Date Mailed: 8/5/05 Due Date: 8-11-05
The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

- | | | |
|---|---|--|
| <input type="checkbox"/> Design Patent Application _____ Pages | <input type="checkbox"/> Form PTO-1533 (Nt. of Missing Parts) | <input checked="" type="checkbox"/> Transmittal Letter <input checked="" type="checkbox"/> Duplicate |
| <input type="checkbox"/> Utility Patent Application _____ Pages | <input type="checkbox"/> Form PTOL-85B (Issue Fee Trans.) & dup. | <input checked="" type="checkbox"/> Certificate of Mailing |
| <input type="checkbox"/> Prov. Patent Application _____ Pages | <input type="checkbox"/> Form PTO-1050 (Cert. of Correction) | <input type="checkbox"/> Certificate of Express Mailing |
| <input type="checkbox"/> Declaration/Oath | <input type="checkbox"/> Maintenance Fee Transmittal Form | Label No. _____ |
| <input type="checkbox"/> Power of Attorney _____ | <input type="checkbox"/> Reexamination Request | <input type="checkbox"/> Check(s) \$ _____ |
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| <input type="checkbox"/> Small Entity Statement | <input type="checkbox"/> Copy of Filing Receipt | |
| <input type="checkbox"/> Drawings: _____ Sheets(s) | <input type="checkbox"/> Req. for Corr. of _____ | |
| <input type="checkbox"/> Formal <input type="checkbox"/> Informal | <input type="checkbox"/> Request for Non-Publication | |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Rescission of Request for Non-Publication | |
| <input checked="" type="checkbox"/> Form PTO-1449 and Copies of _____ | <input checked="" type="checkbox"/> Copy of Electronic Filing Receipt | |
| References Contained Thereon | <input type="checkbox"/> _____ | |
| <input type="checkbox"/> Information Disclosure Certification | <input type="checkbox"/> _____ | |
| <input type="checkbox"/> Amendment/Response | <input type="checkbox"/> _____ | |
| <input type="checkbox"/> Extension of Time Request: _____ Months(s) | <input type="checkbox"/> _____ | |
| <input type="checkbox"/> Petition | <input type="checkbox"/> _____ | |

FOR PTO USE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit: 3744
Mark Munch et al.)	Examiner: Chen W. Jiang
Serial No.: 10/643,641)	<u>TRANSMITTAL LETTER</u>
Filed: August 18, 2003)	162 N. Wolfe Road
For: REMEDIES TO PREVENT CRACKING IN A LIQUID SYSTEM)	Sunnyvale, CA 94086 (408) 530-9700
)	Customer No.: 28960

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Sir:

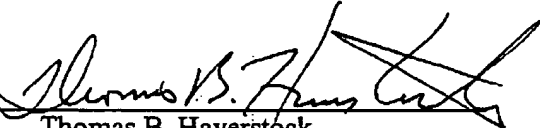
Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including a copy of the reference contained thereon, for filing in the U.S. Patent and Trademark Office.

You will also find enclosed the associated Transmittal, Electronic Information Disclosure Statement, and United States Patent and Trademark Office Acknowledgment Receipt for the electronically filed Supplemental Information Disclosure Statement (EFS ID #89645); filed on August 2, 2005.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.


Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 8-4-05

By: 
Thomas B. Haverstock
Reg. No.: 32,571

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.
Date: 8/5/05 By: 

Attorneys for Applicants

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mark Munch et al.

Serial No.: 10/643,641

Filed: August 18, 2003

For: **REMEDIES TO PREVENT
CRACKING IN A LIQUID
SYSTEM**

) Group Art Unit: 3744

) Examiner: Chen W. Jiang

) **SUPPLEMENTAL INFORMATION**
) **DISCLOSURE STATEMENT**

) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Sir:

The citation listed below, copy attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

United States Patents or Published Patent Applications have been filed electronically (EFS ID# 89645). Applicants have become aware of the following printed publication which may be material to the examination of this application:

- Japanese Patent Abstract JP 2001-326311.

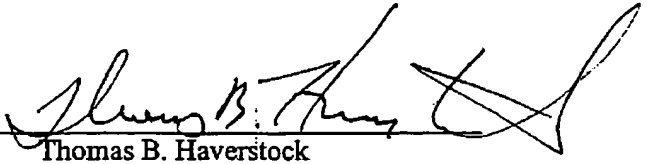
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PATENT
Attorney Docket No.: COOL-00901

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 8-4-05

By: 
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

- 2 -

HAVERSTOCK & OWENS LLP.
Date: 8/5/05 By: 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mark Munch et al.

Serial No.: 10/643,641

Filed: August 18, 2003

For: **REMEDIES TO PREVENT
CRACKING IN A LIQUID
SYSTEM**

) Group Art Unit: 3744

) Examiner: Chen W. Jiang

) **CERTIFICATION FOR**
) **SUPPLEMENTAL INFORMATION**
) **DISCLOSURE STATEMENT UNDER 37**
) **CFR § 1.97(e)**

) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I hereby certify that the attached one (1) reference contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on May 23, 2005. Accordingly, the reference contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 8-4-05

By: Thomas B. Haverstock

Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

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HAVERSTOCK & OWENS LLP.
Date: 8/5/05 By: [Signature]

FORM PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.: COOL-00901

Serial No.: 10/643,641

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use Several Sheets If Necessary)

Applicants: Mark Munch et al.

Filing Date: August 18, 2003

Group Art Unit: 3744

(37 CFR § 1.98(b))

U.S. PATENT DOCUMENTS

Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

	Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
						Yes	No
AK	JP 2001-326311	11/22/01	JP	H01L	23/427		X
AL							
AM							
AN							
AO							

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

AP	
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AZ	

Examiner:

Date Considered:

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

UNITED STATES PATENT AND TRADEMARK OFFICE
ACKNOWLEDGEMENT RECEIPT

Electronic Version 1.4
Stylesheet Version v1.

Title of Invention		REMEDIES TO PREVENT CRACKING IN A LIQUID SYSTEM									
Submission Type:		Information Disclosure Statement									
Application Number:		10/643641	*10/643641*								
EFS ID:		89645									
Server Response:		<table border="1"> <tr> <th>Confirmation Code</th> <th>Message</th> </tr> <tr> <td>ISVR1</td> <td>Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application</td> </tr> <tr> <td>JCON1</td> <td>4440</td> </tr> <tr> <td>USPTOEFSNotice</td> <td>For assistance with e-filing a patent application, contact the Patent Electronic Business Center: Toll-Free Number: 1(866) 217-9197 Website: http://www.uspto.gov/ebc/</td> </tr> </table>		Confirmation Code	Message	ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application	JCON1	4440	USPTOEFSNotice	For assistance with e-filing a patent application, contact the Patent Electronic Business Center: Toll-Free Number: 1(866) 217-9197 Website: http://www.uspto.gov/ebc/
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ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application										
JCON1	4440										
USPTOEFSNotice	For assistance with e-filing a patent application, contact the Patent Electronic Business Center: Toll-Free Number: 1(866) 217-9197 Website: http://www.uspto.gov/ebc/										
First Named Applicant:		Mark Munch									
Attorney Docket Number:											
Timestamp:		2005-08-02 13:44:02 EDT									
From:		us									
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Title of Invention	REMEDIES TO PREVENT CRACKING IN A LIQUID SYSTEM		
Application Number:	10/643641 *10/643641*		
Date:	2003-08-18		
First Named Applicant:	Mark Munch		
Confirmation Number:	4440		
Attorney Docket Number:			
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Submitted by:		Elec. Sign.	Sign. Capacity
Thomas B. Haverstock		/tbh/	Attorney
Registered Number: 32571			

Documents being submitted	Files
us-ids	COOL00901-usldst.xml
	us-lds.dtd
	us-lds.xml
Comments	

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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Title of Invention	REMEDIES TO PREVENT CRACKING IN A LIQUID SYSTEM																									
Application Number:	10/643641	*10/643641*																								
Confirmation Number:	4440																									
First Named Applicant:	Mark Munch																									
Attorney Docket Number:																										
Search string:	(4211208 or 6397932).pn.																									
<p>Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certifies:</p> <p>That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.</p> <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>Inst</th><th>Cite No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>4211208</td><td>1980-07-08</td><td>Uindner</td><td></td><td></td><td></td></tr><tr><td></td><td>2</td><td>6397932</td><td>2002-08-04</td><td>Calman et al.</td><td>81</td><td></td><td></td></tr></tbody></table>			Inst	Cite No.	Patent No.	Date	Patentee	Kind	Class	Subclass		1	4211208	1980-07-08	Uindner					2	6397932	2002-08-04	Calman et al.	81		
Inst	Cite No.	Patent No.	Date	Patentee	Kind	Class	Subclass																			
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<p>Signature</p> <table border="1"><thead><tr><th>Examiner Name</th><th>Date</th></tr></thead><tbody><tr><td></td><td></td></tr></tbody></table>			Examiner Name	Date																						
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